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## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Liang et al.

Application Serial No. 09/759,212

Filed: January 11, 2001

For:

Art Unit: 2871

ng et al.

plication Serial No. 09/759,212 Examine: . . . .

ed: January 11, 2001

r: Transmissive or Reflective Liquid Crystal
 Display and Novel Process for its
 Manufacture

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. \$1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on an attached Supplemental Form PTO-1449 is information known to the applicant(s). Each reference listed has been cited in the applicant's related applications, USSN 09/518,488 and USSN 09/784,972. The references are being submitted herewith for the sake of completeness.

A copy of each listed publication and U.S. and foreign patent, except for pending nonpublished U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return Form PTO-1449 in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

37 C.F.R. §1.97(c). This statement is being filed after the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, a fee of \$180.00 as set forth in §1.17(p) is authorized below.

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Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. <u>08-1641</u> (Attorney's Docket No. <u>26822-0003</u>).

Respectfully submitted,

Dated: July 29, 2003

HELLER EHRMAN WHITE & McAULIFFE LLP 275 Middlefield Road Menlo Park, CA 94025-3506 (650) 324-7000 Customer No. 25213

-2-



CAUENTO		m PTO-1449		Attorney's Docket No. 26822-0003		plication Ser 759,212	ial No.			
INFORMATION DISCLOSURE STATEMENT			Applicant(s)  Liang, et al.							
					Filing Date: Group Art Unit:					
				January 11, 2001						
			U.S. PATE	ENT DOCUMENTS						
Examiner nitials	Ref. No.	Date	Patent No.	Name	Class	Subclass	Filin	g Date	•	
	1.	1/18/66	3,229,607	Battaglia, G. J.	95	75			_	
	2.	3/2/99	5,877,848	Gillette et al.	355	85			_	
	3.	6/06/72	3,668,106	Ota	204	299			_	
	4.	1/31/78	4,071,430	Liebert	204	299			_	
	5.	6/06/78	4,093,534	Carter, et al.	350	355			_	
	6.	8/25/81	4,285,801	Chiang	204	299	1		_	
	7.	714//87	4,680,103	Beilin Solomon I., et al.	204	299			_	
	8.	1/10/95	5,380,362	Schubert	106	493			_	
	9.	04/04/95	5,403,518	Schubert	252	572			_	
	10.	11/12/96	5,573,711	Hou et al.	252	572			_	
	11.	6/22/99	5,914,806	Gordon II, et al.	359	296			-	
	12.	10/05//99	5,961,804	Jacobson, et al.	204	606				
	13.	01/25/00	6,018,383	Dunn et al.	355	49			_	
	14.	02/26/80	4,190,352	Bruning	355	19			_	
	15.	05/08/90	4,924,257	Jain	355	53	ļ .		_	
	16.	02/08/94	5,285,236	Jain	355	53			_	
	17.	07/29/97	5,652,645	Jain	355	53	-		-	
	18.	1/25/00	6,017,584	Albert, et al.	427	213.3	1		_	
-	19.	7/27/99	5,930,026	Jacobson, et al.	359	296			_	
	20.	10/12/71	3,612,758	Evans, et al.	178	5.4R	E		_	
	21.	09/5/72	3,689,346	Rowland	156	245	F F		-	
	22.	12/23/75	3,928,671	Robusto, et al.	427	88	77		_	
	23.	05/03/88	4,741,988	Van der Zande, et al.	430	312		23.2.2	-	
	24.	01/04/94	5,276,438	DiSanto, et al.	345	107	6	300	_	
	25.	01/18/94	5,279,511	DiSanto, et al.	445	24		<del></del>	_	
	26.	05/16/00	6,064,508	Forgette, et al.	359	267	Fr.		-	
	27.	05/23/00	6,067,185	Albert, et al.	359	296		303	_	
	28.	09/05/00	6,113,810	Hou, et al.	252	572	50	ربب	_	
	29.	09/19/00	6,120,588	Jacobson Jacobson	106	31.16	28		_	
	30.	09/19/00	6.400.000						_	
	31.	02/06/01	6,120,839	Comiskey et al.  Gordon, et al.	345	213.3 107	8		_	
	32.	05/29/01	6,239,896	Ikeda	359	240			_	
	33.	11/06/01	6,312,304	Duthaler, et al.	445	240			_	
	34.	12/04/01	6,327,072	Comiskey, et al.	259	296	<b></b>		_	
	35.	01/08/02	6,337,761	Rogers, et al.	359	296	<u> </u>		_	
<del></del>	36.	05/21/02	6,392,785	Albert, et al.	359	296			_	
	37.	05/21/02	6,392,786	Albert	359	296			_	
	38.	06/04/02	6,400,430	Nakao, et al.	349	89			_	
	39.	03/24/98	5,731,860	Harada et al.	349	158		<del></del>	-	
	40.	04/20/99	5,895,541	Kobayashi et al	156	240			-	
	ŀ	1 5 11 2 51 77	-,,- 11	1 - 100	1					



	PTO-1449		Attorney's Docket No. 26822-0003		pplication Se 9/759,212	rial No.
INFORMATION DIS	SCLOSURE ST	TATEMENT	Applicant(s)	liona d	st o1	
			Liang, et al.     Filing Date:   Group Art Unit:   January 11, 2001   2871			:
2.05		U.S. PATENT	DOCUMENTS			2
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43.	02/25/03	6,525,865	Katase, et al	359	296	
44.	03/14/95	5,398,041	Hyatt	345	87	
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46.	06/06/72	3,668,106	Ota, Isao	358	305	
47.	05/27/75	3,885,964	Nacci, George Raymond	430	326	
48.	05/03/88	4,741,604	Kornfeld, Cary D.	359	296	
49.	04/06/93	5,200,120	Sakai, Naomi	264	1.33	
50.	09/05/00	6,113,836	Sakai, et al.	347	171	
51.	06/04/02	6,400,492	Morita et al.	264	400	
52.	01/28/03	6,512,626	Schmidt	359	296	
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54. W	09/19/02	Pub. No. 2002- 0131152 (USSN 10/087,527)	Liang et al.	,		
55. W	02/27/03	Pub. No. 2003- 0039022 (USSN 10/237,522)	Liang et al.		ŧ v.	
56. W	06/20/02	Pub. No. 2002- 75556 (USSN 09/942,532)	Liang et al.	Same Ref.	e as No. 84	AL AL
57. W	03/20/03	Pub No. 2003- 0053190 (USSN 10/092,936)	Liang et al.			06)
58. W	01/09/03	Pub. No. 2003- 007238 (USSN 10/179,997)	Liang et al.			1 2003 CENTER
59.	12/05/02	Pub. No. 2002- 0182544 (USSN 09/784,972)	Chan-Park et al.	Same Ref.	e as No. 82	2800
60.	03/02	Pub. No. 2002- 0029969	Yager et al.			
61. T	03/03/00	USSN 09/518,488	Liang et al.	Same Ref. 1	as No. 80	
62. T,W	03/14/03	USSN 10/388,890	Liang et al.			
63. T,W	01/24/03	USSN 10/351,460	Liang et al.			



Form PTO-1449					Attorney's Docket No. 26822-0003		plication Ser 759,212	ial No.		
INFO	ORMATIO	N DISC	LOSURE ST	ATEMENT	Applicant(s)	Liang, et	al			
					Filing Date:		oup Art Unit	:		- (
					January 11, 2001	28				
		des.		U.S. PATEN	NT DOCUMENTS					
1	64.	T,W	02/21/03	USSN	Liang et al.					
				10/372,027						
	65.	T,W	05/23/03	USSN 10/444,760	Liang et al.					
	66.		06/28/00	USSN 09/606,654	Liang, et al.	Same Ref. N				
					TENT DOCUMENTS		10. 83			
Examiner	Ref. No.		Date	Patent No.	Country	Class	Subclass	Tra	ınsla	tion
Initials	Kel. No.		Date	ratent No.	Country	Class		YE		NO
	67.		10/17/85	60205452	Japan (abstract)	G03F	7/20			
	68.		02/02/00	2000035677	Japan (abstract)	G03F	7/23			
	69.		03/14/00	2000075497	Japan (abstract)	G03F	7/24			
	70.		02/16/01	2001042118	Japan (abstract)	G02B	5/20			
	71.		6/29/82	57-104116	Japan (Abstract)	G02F	1/17	ــــــ		
	72.		03/30/89	64-86116	Japan (Abstract)	G02F	1/19			
·	73.		09/06/90	2-223934	Japan (Abstract)	G02F	1/167			
	74.		11/21/90	2-284125	Japan (Abstract)	G02F	1/167	<u></u>	_	
	75.		10/12/00	WO 00/60410	PCT	G02F	1/167	CHAIC		pari-
	76:		12/17/98	WO 98/57226	PCT	G02F	1/136	<u> </u>	큠	
	77.		02/18/99	WO 99/08151	PCT	G02F	1/1339	10 th	8	
	78.		01//20/00	WO 00/03291	PCT	G02F	1/167		4	
	79.		06/22/00	WO 00/36649	PCT	H01L	23/532	CENTER	ヸ	
	80.	W	09/13/01	WO 01/67170	PCT	G02F	1/167	177	뀲	
	81.	X	07/18/02	WO 02/56097	PCT	G02F		777	-93	t
	82.	Z	08/22/02	WO 02/65215	PCT	G03F	1/00	188		
	83.	Y	01/03/02	WO 02/01281	PCT	G02F	1/00	8	-+	
	84.	W	03/06/03	WO 03/19280	PCT	G02F G02F	1/167	10	-	
	85.		04/04/01	1 089 118 A2	Europe DOCUMENTS	GU2F		<u> </u>		
			(inc		e, date, pertinent pages, etc.	)	i.			
Examiner Initials	Ref. No.		Title							
	86.	•	Dalisa, A. "Electrophoretic Display Technology", <i>IEEE Transactions on Electron Devices</i> , pp. 827, 1977.							
	87.		Hopper, M., et al. "An Electrophoretic Display, Its Properties, Model and Addressing", <i>IEEE Transactions on Electron Devices</i> , Vol. 26, No. 8, pp. 1148-1152, 1979.							
	88.		Singer, B., "An X-Y Addressable Electrophoretic Display", Proc. SID -18(3/4):255-266 (1977)							
	89.		Murau, P., et al., "The understanding and elimination of some suspension instabilities in an electrophoretic display", <i>Journal of Applied Physics</i> , Vol. 49, No. 9, pp. 4820-4829,1978.							
	90.		Comiskey et al., "An electrophoretic ink for all-printed reflective electronic displays", Letters to Nature, May, 1998.							
	91.		Harbour, J.R., et al., "Subdivided Electrophoretic Display" <i>Xerox Disclosure Journal</i> , Vol. 4, No. 6, pp. 705, November 1979.							



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			26822-0003	09/759,212			
INFO	RMATION I	DISCLOSURE STATEMENT	Applicant(s)				
			Liang, et al.				
			Filing Date:	Group Art Unit:			
		Carlo Car	January 11, 2001	2871			
9		OTHER	DOCUMENTS	end of the approximation of the second state parts for			
		(including author, tit	le, date, pertinent pages, etc.)				
	92.		risplays", Allen Clark Research Centre, pp. 223-240.				
	93.	Nakamura, et al., "Developm	nent of Electrophoretic Display Using Microcapsulated Suspension'				
		SID Digest, pp. 1014-1017, 1		5			
	94.			roceedings of the IEEE, Vol. 501,			
		No. 7, July 1973.	,	to committee of the 1222, You sort,			
EVAMINED			DATE CONCIDENCE				
CAMMINER			DATE CONSIDERED				
EXAMINER		No. 7, July 1973.	DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

- T: Copy of the pending application is available upon request.
- W: Counterpart application of application No. 09/518,488, ref. No. 61.
- X: Counterpart international application of the present application.
- Y: Counterpart international application of application No. 09/606,654, ref. No. 66.
- Z: Counterpart international application of application No. 09/784,972, ref. No. 59.

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